PTO-1390 (Rev. 12-2004)

Approved for use through 03/31/2007. OMB 0651-0021

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ATTORNEY'S DOCKET NUMBER

| | TR | ANSMITTAL LETTER T | OHK-0006 | | | | | | | | | | | |
|---|--|--|---|--|--|--|--|--|--|--|--|--|--|--|
| С | D ON | DESIGNATED/ELECTED CERNING A SUBMISSI | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | | | | | |
| INTE | RNA | TIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | | | |
| | _ | PCT/JP03/08018 | 25 June 2003 | 9 July 2002 | | | | | | | | | | |
| TITLE | TITLE OF INVENTION TUBE FOR HEAT EXCHANGER | | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Hajime Ohata et al. | | | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | | |
| 1. | 1 | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | | |
| 3. | х | This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | | |
| 4. | х | The US has been elected (Article 31). | | | | | | | | | | | | |
| 5. | х | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | | | |
| | a. | is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | | |
| ŀ | b. | x has been communicated by the International Bureau. | | | | | | | | | | | | |
| | c. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | | |
| 6. | ΓxÌ | The state of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | | | |
| | a. | x is attached hereto. | | | | | | | | | | | | |
| | b. | has been previously submitted under 35 U.S.C. 154(d)(4). x Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | | |
| 7. | [x] | | | | | | | | | | | | | |
| | a. [| | | | | | | | | | | | | |
| | ь. | | | | | | | | | | | | | |
| 1 | c. | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | | | |
| l | d. | x have not been made and w | | | | | | | | | | | | |
| 8. | | An English language translation | n of the amendments to the claims under | PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | | |
| 9. | × | An oath or declaration of the in | ventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | | | | |
| 10. | x | An English language translatio Article 36 (35 U.S.C. 371 (c)(5 | n of the annexes to the International Pr | eliminary Examination Report under PCT | | | | | | | | | | |
| Iten | ıs 1 | 1 to 20 below concern docu | ment(s) or information included: | | | | | | | | | | | |
| 11. | X | | tement under 37 CFR 1.97 and 1.98. | | | | | | | | | | | |
| 12. | × | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | | | |
| 13. | х | A preliminary amendment. | | | | | | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | | | | |
| 16. | Γ | A power of attorney and/or change of address letter. | | | | | | | | | | | | |
| 17. | \Box | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | | | | |
| 18. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | | |
| 19 | \vdash | A second copy of the English | language translation of the internationa | l application under 35 U.S.C. 154(d)(4). | | | | | | | | | | |
| 20 | + | X Other items or information: Return Receipt Postcard | | | | | | | | | | | | |
| | · | 1 | | | | | | | | | | | | |

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| U.S. APPLICATION NO. INTERNATIONAL APPLICATION NO. PCT/JP03/08018 | | | | | | | | ATTORNEY'S DOCKET NUMBER OHK-0006 | | | | |
|---|---|----------------|-----------|--------------|---|--------------|---------------|-----------------------------------|---|--|--|--|
| 21. The following | lowing fees are | submitted: | | | | | Applic | ant Use | Office Use Only | | | |
| x a) Basic | national fee | | | | \$3 | 00.00 | \$ | 300.00 | | | | |
| x b) Exam | ination fee | | •••••• | ••••• | \$2 | 00.00 | \$ | 200.00 | | | | |
| x c) Searc | h fee | | ••••• | | \$5 | 00.00 | \$ | 500.00 | | | | |
| | TOTAL OF ABOV | E CALCUL | .ATIO | NS = | \$10 | 00.00 | \$ | 1,000.00 | | | | |
| └── sequend | al fee for specificat ce listing or comput each additional 50 | | | | | | | | | | | |
| Total Sheets | Extra sheets | | | | each additional 50 or fraction und up to a whole number | | | | | | | |
| 31 - 100 = | 31 - 100 = /50 = | | × \$250.0 | | | × \$250.00 | | | | | | |
| | 130.00 for furnishit claimed priority da | \$ | | | | | | | | | | |
| CLAIMS | - | | NUN | MBER EXTRA | RATE | | ļ | | | | | |
| Total claim | | 6- 20 = | | | х | | \$ \$ | | | | | |
| Independent cl | PENDENT CLAIM | | -3 = 1 | | | + 360.00 | | 200.00 | | | | |
| MOLTIFEE DEF | ENDENT CLAIM | s) (ii applica | | TAL OF ABOVE | | 360.00 | \$ \$ | 360.00 1,360.00 | | | | |
| Applicant are reduced | claims small entit | y status. Se | | | | | \$ | 1,500.00 | - · · · · · · · · · · · · · · · · · · · | | | |
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| | g the enclosed assignance an appropriate cov | | | | | | \$ | - | | | | |
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| | | | | An | nount to be | e refunded: | | | \$ | | | |
| | | | | Α | mount to | be charged: | | J. Francisco | \$ | | | |
| a. A ch | eck in the amount | of \$ | | | to cover th | e above fees | is enclo | sed. | | | | |
| b. X Please charge my Deposit Account No. 18-0013 in the amount of \$ 1,360.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | | | |
| c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any | | | | | | | | | | | | |
| overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card | | | | | | | | | | | | |
| | mation should n | | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | | | | |
| SEND ALL CO | SEND ALL CORRESPONDENCE TO: | | | | | | | | | | | |
| RADER, FIS 1 233 20th S | HMAN & GRAU | ER PLLC | | | NAME | Sha | Shawn B. Cage | | | | | |
| Suite 501 | | | | | | | | | | | | |
| Washington, DC 20036 51,522 (202) 955-3750 REGISTRATION NUMBER | | | | | | | | | ···· | | | |
| (202) 955-3750 REGISTRATION NUMBER CUSTOMER NUMBER: 23353 | | | | | | | | | | | | |
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